

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

IN RE: SANDISK LLC SECURITIES
LITIGATION

Case No. 3:15-cv-01455-VC

Hon. Vince Chhabria

PROOF OF CLAIM AND RELEASE

I. GENERAL INSTRUCTIONS

1. To recover as a Class Member based on your claims in the action entitled *In re: SanDisk LLC Securities Litigation*, No. 3:15-cv-01455-VC (the “Action”), YOU MUST MAIL OR SUBMIT ONLINE A COMPLETED PROOF OF CLAIM FORM (“CLAIM FORM”), ACCOMPANIED BY COPIES OF THE DOCUMENTS REQUESTED HEREIN, **ON OR BEFORE SEPTEMBER 12, 2019**, ADDRESSED AS FOLLOWS:

SanDisk Securities Litigation
Claims Administrator
c/o Epiq
P.O. Box 3058
Portland, OR 97208-3058
Phone: (877) 432-3788
info@SanDiskSecuritiesLitigation.com
www.SanDiskSecuritiesLitigation.com

2. Submission of this Claim Form, however, does not assure that you will share in the proceeds of the settlement of the Action.

3. If you are a Class Member and have not timely and validly requested exclusion from the Class, **you are bound by the terms of any judgment entered in the Action, including the releases provided therein, WHETHER OR NOT YOU SUBMIT A CLAIM FORM.**

4. All capitalized terms have the meaning ascribed to them in the Stipulation of Settlement unless otherwise defined herein.

II. CLAIMANT IDENTIFICATION

1. If you purchased or otherwise acquired the publicly traded common stock of SanDisk LLC (“SanDisk”) during the period from October 16, 2014, through April 15, 2015, inclusive (the “Class Period”), use Part I of this form entitled “Claimant Identification” to list the Claimant’s name, mailing address, and account numbers if relevant (such as for a claim submitted on behalf of an IRA, Trust, or estate account). Please list the most current Claimant or account name as you would like the information to appear on a check, if eligible for payment. Please also provide a telephone number and/or email address, as the Claims Administrator may need to contact you with questions about the submitted claim. **If your Claimant Identification information changes, please notify the Claims Administrator in writing at the address above.**

2. All joint purchasers must sign this Claim Form. If you are acting in a representative capacity on behalf of a Class Member (for example, as an executor, administrator, trustee, or other representative), you must submit evidence of your current authority to act on behalf of that Class Member. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents or other documents, which provide you with the authority to submit the claim. Please also indicate your representative capacity under your signature on p. 5 of this Claim Form.

III. IDENTIFICATION OF TRANSACTIONS

1. Use Part II of this form entitled “Schedule of Transactions in SanDisk Publicly Traded Common Stock” to supply all required details of your transaction(s). Neither the Claims Administrator, the Defendants, nor the Class Representatives have access to your transactional information. If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

2. On the schedules, provide all of the requested information with respect to *all of your* purchases or acquisitions of SanDisk publicly traded common stock, and *all of your* sales of SanDisk publicly traded common stock, whether such transactions resulted in a profit or a loss. You must also provide the amount of SanDisk publicly traded common stock you held at the close of trading on October 15, 2014, and July 14, 2015. Failure to report all such transactions may result in the rejection of your claim.

3. List each transaction separately and in chronological order, by trade date, beginning with the earliest. You must accurately provide the month, day, and year of each transaction you list.

4. The date of covering a “short sale” is deemed to be the date of purchase of SanDisk common stock. The date of a “short sale” is deemed to be the date of sale of SanDisk common stock.

5. **COPIES OF BROKER CONFIRMATIONS OR OTHER DOCUMENTATION OF YOUR TRANSACTIONS SHOULD BE ATTACHED TO YOUR CLAIM. FAILURE TO PROVIDE THIS DOCUMENTATION COULD DELAY VERIFICATION OF YOUR CLAIM OR RESULT IN REJECTION OF YOUR CLAIM.**

6. **NOTICE REGARDING ELECTRONIC FILES:** Certain Claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. To obtain the mandatory electronic filing requirements and file layout, you may visit the settlement website at www.SanDiskSecuritiesLitigation.com or you may email the Claims Administrator’s electronic filing department at info@SanDiskSecuritiesLitigation.com. Any file not in accordance with the required electronic filing format will be subject to rejection. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues an email to that effect after processing your file with your claim numbers and respective account information. Do not assume that your file has been received or processed until you receive this email. If you do not receive such an email within 10 days of your submission, you should contact the electronic filing department at info@SanDiskSecuritiesLitigation.com to inquire about your file and confirm it was received and acceptable.

IV. SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENTS

1. I (We) submit this Claim Form under the terms of the Stipulation and Agreement of Settlement described in the Settlement Notice and available at www.SanDiskSecuritiesLitigation.com. I (We) also submit to the jurisdiction of the United States District Court, Northern District of California, with respect to my (our) claim as a Class Member.

2. I (We) further acknowledge that, upon the Effective Date of the Settlement, I (we) will be bound by and subject to the terms of any judgment that may be entered in the Action, including the release of the Released Claims as against the Released Defendants' Parties. I (We) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so. I (We) have not submitted any other claim covering the same purchases or sales of SanDisk publicly traded common stock during the relevant periods and know of no other person having done so on my (our) behalf.

3. I (We) hereby warrant and represent that I (we) have included information about all of my (our) purchases of SanDisk publicly traded common stock which took place from October 16, 2014, through April 15, 2015, and all of my (our) sales of common stock from October 16, 2014, through July 14, 2015, as well as the number of shares held by me (us) at the close of trading on October 15, 2014, and July 14, 2015.

4. I (We) declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed this _____ day of _____, in _____, _____.
(Month / Year) (City) (State/Country)

Signature of Claimant	<input type="text"/>	Date	<input type="text"/>	-	<input type="text"/>	-	<input type="text"/>
			MM		DD		YY
Print Name of Claimant	<input type="text"/>						
Signature of Joint Claimant, if any	<input type="text"/>	Date	<input type="text"/>	-	<input type="text"/>	-	<input type="text"/>
			MM		DD		YY
Print Name of Joint Claimant, if any	<input type="text"/>						

(Capacity of person(s) signing *e.g.*, Beneficial Purchaser, Executor, or Administrator)

**ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME.
THANK YOU FOR YOUR PATIENCE.**

Reminder Checklist:

1. Please sign above.
2. Remember to attach copies of supporting documentation.
3. **Do not send** originals of certificates or other documentation, as they will not be returned.
4. Keep a copy of your Claim Form and all supporting documentation for your records.
5. If you desire an acknowledgment of receipt of your Claim Form, please send it Certified Mail, Return Receipt Requested.
6. If you move, please send your new address to the address below.
7. **Do not use red pen or highlighter** on the Claim Form or supporting documentation.

THIS CLAIM FORM MUST BE SUBMITTED ONLINE OR, IF MAILED, POSTMARKED NO LATER THAN SEPTEMBER 12, 2019, ADDRESSED AS FOLLOWS:

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